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| #1 Licensing |

| **Assessment**  **Procedure**  **Number** | **ALTA Best Practices Framework: Assessment Procedures** | Assessment Recap |
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|  | **ALTA Best Practice 1:**  **Establish and maintain current License(s) as required to conduct the business of title insurance and settlement services.** | ***Overall Assessment Recap: If any individual procedure marked with an asterisk FAILS, Best Practice 1 FAILS.*** |
| 1.01\* | Confirm the active status of the Company and/or individual Licenses/registrations for each state in which the Company conducts business. Validate compliance with ALTA Policy Forms Licensing Requirement. Documentation reviewed may include actual licenses, Department of Insurance or appropriate state regulatory agency websites/screenshots, Bar Association status, corporate or business registrations with the state and other documentation as applicable to state/license.  Sample Selection:   * 100% of all required licenses and corporate registrations in all states in which Company operates on assessment date. * View Company’s active ALTA Policy Forms License or verify compliance on ALTA website. | PASS / FAIL |